ALPHACORE CAPITAL

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WHO WE ARE

- San Diego based boutique wealth advisory firm
- Investment experts with years of experience allocating to traditional and *alternative* investments
- Comprehensive financial planning solutions
- Highly engaged in the local community

HISTORY

Our team consists of experienced Certified Financial Planners, Chartered Financial Analysts, and Chartered Alternative Investment Analysts. Firm President and CEO, Dick Pfister, founded the firm in 2015. AlphaCore team members bring a wealth of experience working with alternative investments and is focused on bringing you the best in class service, research, and portfolio management services that the industry has to offer.

1995-2010

Members of AlphaCore' s senior management team work together in various capacities at Man Group (FTSE: EMG, Altegris)

2010

Altegris sold to Fortune 500 Company (Genworth Financial)

2015

Launched AlphaCore Capital

2017

Firm assets reach 200mn. Builds out in-house research, trading & operations, and client service capabilities

2018

AlphaCore develops and launches its own proprietary risk analytics tool:

factorE

2019

AlphaCore Capital is admitted into a large custodial network to provide expertise in alternative investing

OUR TEAM

Our team consists of experienced:

- Certified Financial Planners
- Chartered Financial Analysts
- Chartered Alternative Investment Analysts

Dick Pfister, CAIA
CEO, Founder

Tricia Zehr, IACCP
Chief Compliance Officer

Carl Adams
Managing Director, Private Client Group

Kirk Strawn, CFA, CIMA
Chief Strategy Officer

Marc Seward, ChFC, CLU
Senior Wealth Manager

Tonya Dupree
Client Relationship Manager

Johann Lee, CFA
Research Analyst

Brett Hughes
Client Service Associate

Susan Bowers, CFP
Director of Business Development

Melissa Silverthorn
Client Service & Operations

Conor Rood
Intermediary Strategist

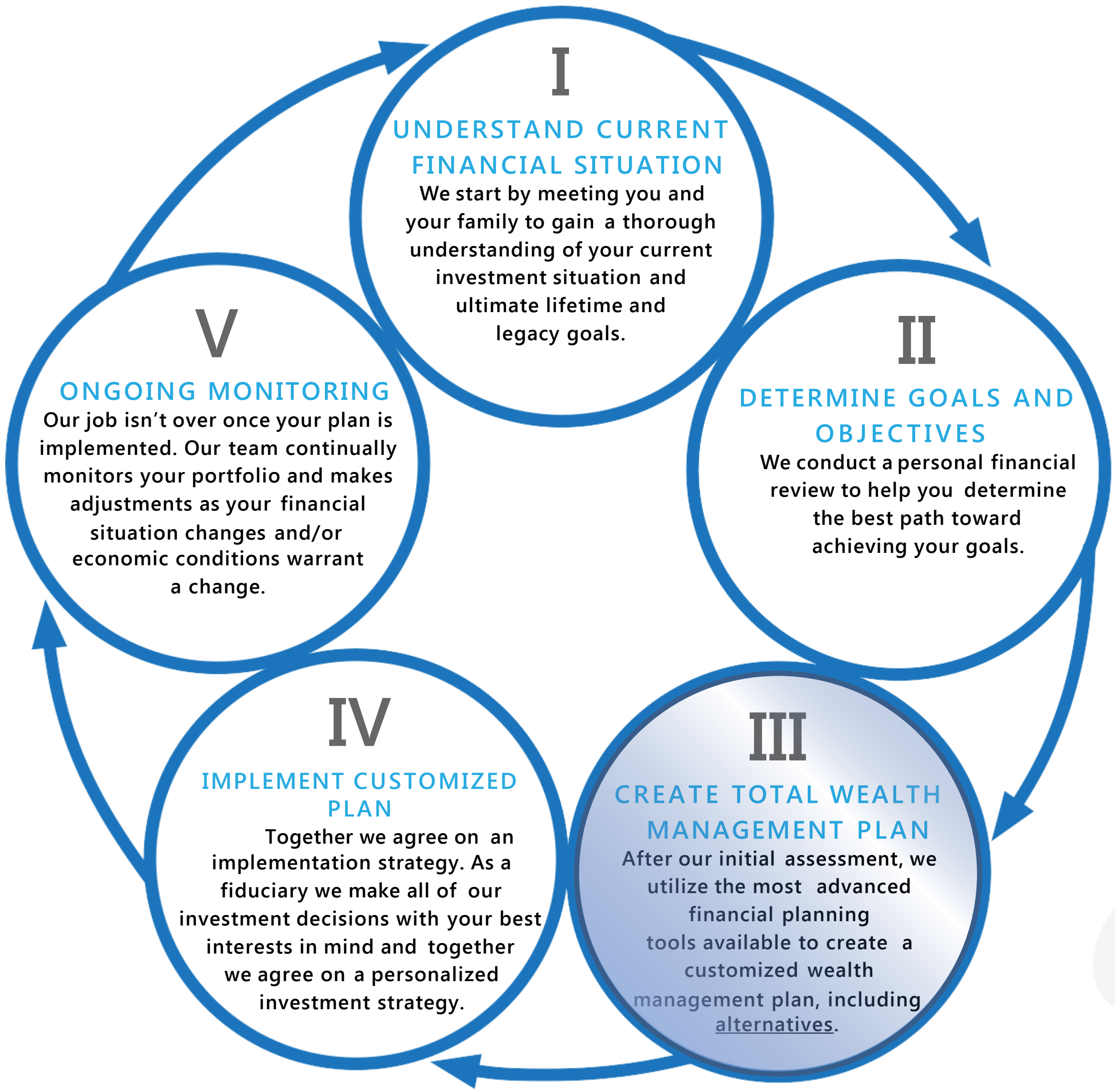
OUR CLIENTS

Our clients have many things in common:

- They come to us for financial peace of mind
- They value the coordination we bring to their other trusted advisors
- They don’t want to stay awake at night worrying about another market correction
- They seek diversifying return sources

WHAT WE DO

The AlphaCore reporting tools allow our clients to consolidate all investment and banking accounts. (e.g. checkings, savings, real estate, private investments...)



Our team coordinates with your other trusted advisors. (e.g. CPA, attorney...)

WHY WE ARE DIFFERENT

- Advisors have largely invested client portfolios using some combination of stocks and bonds
- How diversified are you with just these two assets?
- Alternative investments may help diversify your portfolio even further
- Factor*E*- proprietary portfolio management and risk analytics tool

TRADITIONAL DIVERSIFICATION ONLY LOOKED TO...

TRADITIONAL EQUITY

- LARGE CAP
- MID CAP
- SMALL CAP
- VALUE
- GROWTH
- REAL ESTATE
- EMERGING MARKETS

TRADITIONAL FIXED INCOME

- TREASURIES
- CORPORATES
- HIGH YIELD
- MBS/ABS
- FLOATING RATE
- GLOBAL
- INTERNATIONAL

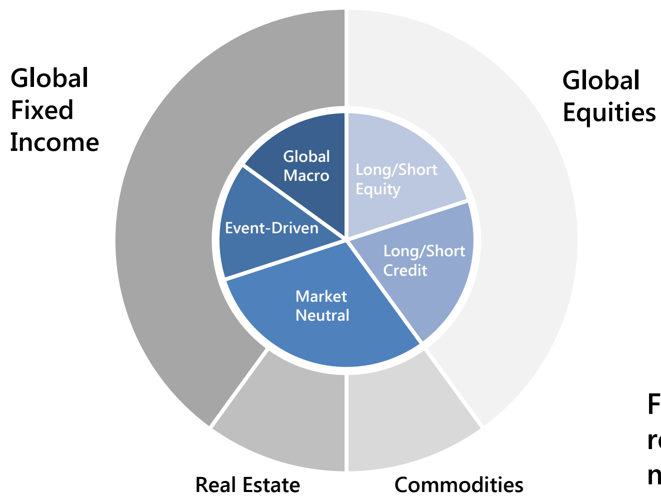
A MORE BALANCED PORTFOLIO INCLUDES...

ALTERNATIVE ASSET CLASSES

- COMMODITIES
- CURRENCY
- OIL & GAS
- PRIVATE DEBT
- PRIVATE EQUITY
- REAL ESTATE

ALTERNATIVE STRATEGIES

- EVENT DRIVEN
- GLOBAL MACRO
- LONG/SHORT EQUITY
- LONG/SHORT CREDIT
- MARKET NEUTRAL
- MULTISTRATEGY
- RELATIVE VALUE
- SPECIALTY



For illustrative purposes only, and does not represent a specific portfolio or strategy managed by AlphaCore.

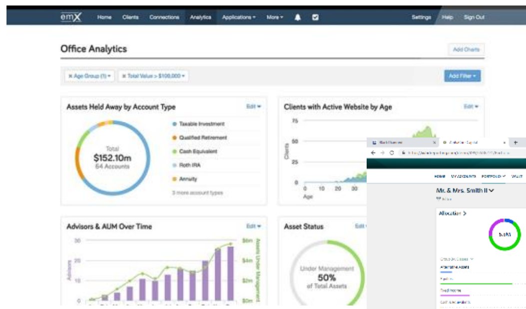
TOOLS AND SERVICES

SERVICE BENEFITS

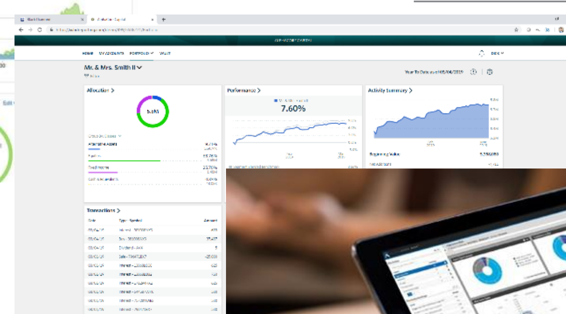
- Proprietary risk analytics with *factorE*
- On-site research staff
- Quarterly commentaries
- Dedicated service and operational team
- Thought leadership & white papers

CLIENT REPORTING TOOLS AND ANALYSIS

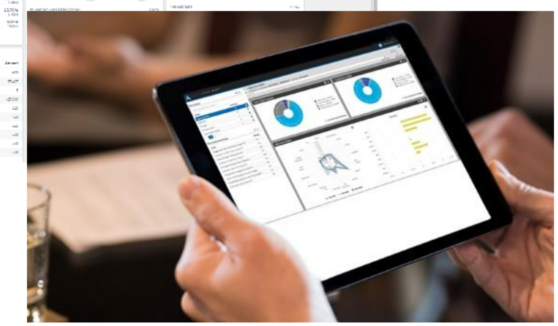
PLANNING



REPORTING



factorE



You will also have access to online reporting tools which allow you to evaluate your holdings with our firm via secure online portals. These tools further enable you to monitor balances, analyze various returns measures and review your portfolio relative to customized benchmarks.

WHY ALPHACORE WEALTH ADVISORY

- San Diego based firm, advising clients nationally
- Comprehensive wealth advisory inclusive of *alternative investments*
- Tailored service and allocation advice for your specific objectives and goals
- Develops institutional quality research, while also utilizing proprietary risk tools to best understand complex assets and strategies
- Cutting edge client reporting tools and services

DISCLOSURES

This material is being provided for client and prospective client use, and for informational purposes only. This material represents the current views and opinions of AlphaCore Capital, and there is no guarantee that any opinions will prove to be accurate, or any forecasts made will come to pass. No obligation is undertaken to update any information, data or material contained herein. This material is not intended to be relied upon as a forecast or investment advice, and do not constitute a recommendation, offer, or solicitation for any specific security or any specific investment strategy, as AlphaCore provides investment advice only within the context of our written advisory agreement with each AlphaCore client. **It should not be assumed that any strategy or investment recommendations or decisions we make in the future will be profitable.**

Certain performance presented utilizes index returns, and you cannot invest directly into an index without incurring fees and expenses of investment in a security or other instrument. In addition, hypothetical performance does not account other factors that would impact actual trading, including but not limited to account fees, custody, and advisory or management fees, as applicable. All of these fees and expenses would reduce the rate of return on investment.

Any specific security or strategy is subject to a unique due diligence process, and not all diligence is executed in the same manner. All investments are subject to a degree of risk, and alternative investments and strategies are subject to a set of unique risks. No level of due diligence mitigates all risk, and does not eliminate market risk, failure, default, or fraud. There is no guarantee that any investment strategy will achieve its objectives, generate profits or avoid losses. **Past performance is not indicative of future results.**